



FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

RQ-3

May 22, 1997

Stan Huckaby, Treasurer  
National Republican Senatorial Committee  
425 Second Street, NE  
Washington, DC 20002

Identification Number: C00027466

Reference: October Monthly (9/1/96-9/30/96), 12 Day Pre-General (10/1/96-10/16/96) and 30 Day Post-General (10/17/96-11/25/96) Reports

Dear Mr. Huckaby:

This letter is to inform you that as of May 21, 1997, the Commission has not received your response to our requests for additional information dated April 30, 1997. These notices request information essential to full public disclosure of your federal election campaign finances. To ensure compliance with the provisions of the Federal Election Campaign Act (the Act), please respond to these requests (copies enclosed).

If no response is received within fifteen (15) days from the date of this notice, the Commission may choose to initiate audit or legal enforcement action.

If you should have any questions regarding this matter, please contact Neil Evans on our toll-free number (800) 424-9530 or our local number (202) 219-3580.

Sincerely,

A handwritten signature in black ink that reads "John D. Gibson".

John D. Gibson  
Assistant Staff Director  
Reports Analysis Division

Enclosures



FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

RQ-2

APR 30 1997

Stan Huckaby, Treasurer  
National Republican Senatorial Committee  
425 Second Street NE  
Washington, DC 20002

Identification Number: C00027466

Reference: 12 Day Pre-General Report (10/1/96-10/16/96)

Dear Mr. Huckaby:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-Schedule H4 discloses a disbursement(s) with the unique identifier J62M which is categorized as a fundraising expense(s); however, a Schedule H2 has not been filed to disclose the allocation ratio. All committees are required to allocate the direct costs of each fundraising event in which the committee collects both federal and non-federal funds. The costs are allocated according to the funds received ratio and reported on Schedule H2. 11 CFR §§106.5(f) and 106.6(d). Please file a Schedule H2 to disclose the ratio for the fundraising event(s).

-Schedule B of your report discloses earmarked contributions. If the contribution passed through your committee's account, each must be itemized on the receipt and expenditure schedules, regardless of the amount. For disclosure purposes, the name and mailing address for each contributor must be provided, and where the contribution exceeds \$200, the individual's occupation and name of employer must also be itemized. Please amend your report accordingly. 11 CFR §110.6(c)(1)(v)

-Please be advised that for each earmarked contribution forwarded in the form of the contributor's check, the information required by 11 CFR

§110.6(c)(1)(iv)(A) through (C) should be disclosed as a memo schedule. For future reporting, please provide a memo schedule that includes the date the contribution was received by your committee and the exact date the earmarked contribution was forwarded to the recipient candidate.

-11 CFR §105.2 states that any political committee which "...supports only candidates for nomination for election or election to the Senate of the United States..." shall file its reports with the Secretary of the Senate (emphasis added). The reports filed by your committee during the 1995-1996 election cycle show contributions being made to candidates for the U.S. House of Representatives and other non-U.S. Senate recipients, in addition to candidates for the U.S. Senate. Therefore, it appears that your committee must begin filing reports directly with the Federal Election Commission and discontinue filing with the Secretary of the Senate.

-Your report includes computer produced formats of Schedules A and D. Computer produced formats may only be used upon prior approval of the Commission. You should submit a separate sample format with a cover letter requesting approval. Until your format has been approved, FEC forms must be used. 11 CFR §104.2(d)

A written response or an amendment to your original report(s) correcting the above problem(s) should be filed with the Federal Election Commission within fifteen (15) days of the date of this letter. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530. My local number is (202) 219-3580.

Sincerely,



Neil Evans  
Reports Analyst  
Reports Analysis Division